## IAP15 Rec'd PCT/PTO 07 MAR 2007

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	ANSMITTAL LETTER TO DESIGNATED/ELECTED	ATTORNEY'S DOCKET NUMBER 1321-114 PCT US						
CON	ICERNING A SUBMISSIO	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/582,916						
	TIONAL APPLICATION NO. <b>P2004/052615</b>	October 21, 2004	PRIORITY DATE CLAIMED October 24, 2003					
TITLE OF INVENTION PROCESS TO PREPARE ALKYL PHENYL PHOSPHATES								
APPLICA	NT(S) FOR DO/EO/US Lambertu	s DeKleine and Juergen Klaus	Seifert					
Applican	t herewith submits to the United St	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:					
1.	This is a <b>FIRST</b> submission of items co	oncerning a submission under 35 U.S.C. 371	l.					
2.	This is a SECOND or SUBSEQUENT	submission of items concerning a submission	n under 35 U.S.C. 371.					
3.	This is an express request to begin nat (5), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 37	1(f)). The submission must include items					
4.	The US has been elected (Article 31).							
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))						
	a. is attached hereto (required	d only if not communicated by the Internation	nal Bureau).					
	b. has been communicated by	y the International Bureau.						
_	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of th	e International Application as filed (35 U.S.C	C. 371(c)(2)).					
	a. is attached hereto.							
	b. has been previously submi	tted under 35 U.S.C. 154(d)(4).						
·7. L.J	Amendments to the claims of the Inte	ernational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))					
	a. are attached hereto (requi	ired only if not communicated by the Internat	iional Bureau).					
	b. have been communicated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
_	d. Li have not been made and							
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. 🗶	An oath or declaration of the inventor		•					
10.	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT					
Items 11 to 20 below concern document(s) or information included:								
11. 🔲	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	•					
12. 🗶	An assignment document for recording	ng. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.					
13.	A preliminary amendment.							
14.	An Application Data Sheet under 37	CFR 1.76.						
15.	A substitute specification.							
16.	A power of attorney and/or change of	f address letter.						
17.	A computer-readable form of the seq	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.					
18.	A second copy of the published Interest	national Application under 35 U.S.C. 154(d)(	4).					
19.	A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).					
CERTIFICATION UNDER 37 C.F.R. § 1.10  I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on the date								

below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV937728009US\_ addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated:

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/582,916				INTERNATIONAL APPLICATION NO. PCT/EP2004/052615		ATTORNEY'S DOCKET NUMBER 1321-114 PCT US	
20. Other items or information: Form PCT/DO/EO/905, Petition for One Month Extension of Time under 37 C.F.R. § 1.136 (a) with petition fee							
The fol	lowing fees have b	een submitted			CALCULATIONS	PTO USE ONLY	
21. 🔲 Bas	ic national fee (37	CFR 1.492(a))		\$300	\$		
If the written opin	nination fee (37 Cl nion prepared by IS /US indicates all cl ns	\$					
If the written opin IPEA/US Search fee (37 C International Sea previous)	rch fee (37 CFR 1. nion of the ISA/US 5 indicates all claim CFR 1.445(a)(2)) his onal Searching Au arch Report prepar y communicated tons	\$					
	TOTAL OF 21 22	2 and 23 =			0.00		
TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE			
18 - 100 =	/50 =			x \$250	\$	<u> </u>	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).							
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	20	- 20 =	0	× \$ 50	\$		
Independent clai	ms 1	- 3 =	0	x \$200	\$		
MULTIPLE DEPI	ENDENT CLAIM(S	i) (if applicable)		+ \$360	\$		
TOTAL OF ABOVE CALCULATIONS =					\$ 130.00		
Applicant cla	aims small entity st	atus. See 37 CFF	R 1.27. Fees above are redu	ced by 1/2.			
				SUBTOTAL =	\$ 130.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$		
TOTAL NATIONAL FEE =					\$ 130.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00		
TOTAL FEES ENCLOSED =					\$ 170.00		
		<del></del>			Amount to be refunded:	\$	
					Amount to be charged	\$	

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PTO-1390 (Rev. 07-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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a. 🗶	A check in the amount of \$ $\frac{130.00 \& 40.00}{}$ to cover the above fees is enclosed.						
b. 🗖	Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. 🗶	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1121 . A duplicate copy of this sheet is enclosed.						
d. $\square$	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Dilw 333 I Suite	Carle Ovington Blvd., 702 Indale, New York 11553  REGISTRATION NUMBER  M. Japla  M. Japla  Signature George M. Kaplan  NAME 28,375  REGISTRATION NUMBER						



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.urpto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/582,916

Lambertus DeKleine

1321114 PCT US

INTERNATIONAL APPLICATION NO. PCT/EP04/52615

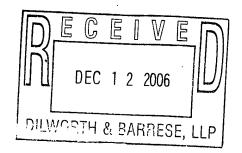
I.A. FILING DATE

PRIORITY DATE

10/21/2004

10/24/2003

Dilworth & Barrese 333 Earle Ovington Blvd. Suite 702 Uniondale, NY 11553



**CONFIRMATION NO. 8679 371 FORMALITIES LETTER** OC000000021508354\*

Date Mailed: 12/07/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/14/2006
- Copy of the International Search Report filed on 06/14/2006
- Preliminary Amendments filed on 06/14/2006
- Information Disclosure Statements filed on 06/14/2006
- Request for Immediate Examination filed on 06/14/2006
- U.S. Basic National Fees filed on 06/14/2006
- Priority Documents filed on 06/14/2006
- Specification filed on 06/14/2006
- Claims filed on 06/14/2006
- Abstracts filed on 06/14/2006

DOCKET

**DUE DATE** 

ATTORNEY

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

- Total additional fees required for this application is \$130 for a Large Entity:
  - \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.					
10/582,916	PCT/EP04/52615	1321114 PCT US					

FORM PCT/DO/EO/905 (371 Formalities Notice)